



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alan Rapacki et al.                      Art Unit : 3743  
Serial No. : 10/627,517                              Examiner : Nihir B. Patel  
Filed : July 25, 2003                                  Cust. No. : 20985  
Conf. No. : 3743  
Title : BRONCHIAL FLOW CONTROL DEVICES AND METHODS OF USE

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

This Supplemental Information Disclosure Statement accompanies a Response to the Requirement for Restriction mailed on April 4, 2005. Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (2 pages) and copies of the cited non U.S. Patent documents are provided herewith.

The documents cited on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 21, 2005

Date of Deposit

*Odetta N. Serrett*  
Signature

Odetta N. Serrett

Typed or Printed Name of Person Signing Certificate

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Attorney's Docket No.: 17075-016001/0120

Applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Publication No.</u>	<u>Docket No.</u>
10/703,919	11/06/03	2005-0051163	004009/0103I
10/687,081	10/15/03	2004-0154621	004011/0103K
10/704,023	11/06/03	2004-0134487	004012/0103L
10/887,337	07/08/04	2005-0016530	020001/0124
10/723,273	11/25/03	2004-0148035	021001/0125
11/004,560	12/03/04	Awaiting	022001/0126
11/044,875	01/26/05	Awaiting	023001/0127
11/067,025	02/25/05	Awaiting	024001/0128
11/075,633	03/08/05	Awaiting	025001/0129

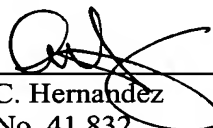
  

<u>Int'l Appl. No.</u>	<u>Filing Date</u>	<u>Publication No.</u>	<u>Docket No.</u>
Awaiting	03/08/05	Awaiting	025WO1/0129PC

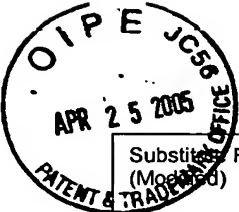
Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

  
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Attorney Docket No. 17075-016001/0120  
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<b>Substitution Form PTO-1449</b> (Modified)  <b>List of Patents and Publications for Applicant's Information Disclosure Statement</b>  (37 CFR §1.98(b))	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Attorney's Docket No.</b> 17075-016001/0120	<b>Application No.</b> 10/627,517
	<b>Applicant</b> Alan Rapacki et al.		
	<b>Filing Date</b> July 25, 2003	<b>Group Art Unit</b> 3743	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2003/0070682	04/17/03	Wilson et al.	128	207.16	10/10/02
	AB	2003/0070683	04/17/03	Deem et al.	128	207.16	11/22/02
	AC	2003/0075169	04/24/03	Deem et al.	128	200.19	11/22/02
	AD	2003/0075170	04/24/03	Deem et al.	128	200.19	11/22/02
	AE	2003/0164168	09/04/03	Shaw et al.	128	200.24	05/18/01
	AF	2003/0192550	10/16/03	Deem et al.	128	207.14	04/18/03
	AG	2003/0192551	10/16/03	Deem et al.	128	207.14	04/18/03
	AH	2003/0199972	10/23/03	Zadno-Azizi et al.	623	1.24	04/18/03
	AI	2003/0212452	11/13/03	Zadno-Azizi et al.	623	1.24	06/03/03
	AJ	2004/0016435	01/29/04	Deem et al.	128	207.14	07/29/03
	AK	2004/0039250	02/26/04	Tholfsen et al.	600	104	05/28/03
	AL	2004/0055606	03/25/04	Hendricksen et al.	128	207.14	07/25/03
	AM	2004/0074491	04/22/04	Hendricksen et al.	128	200.19	08/20/03
	AN	2004/0089306	05/13/04	Hundertmark et al.	128	207.14	05/28/03
	AO	2004/0134487	07/15/04	Deem et al.	128	200.19	11/06/03
	AP	2004/0154621	08/12/04	Deem et al.	128	206.24	10/15/03
	AQ	2005/0051163	03/10/05	Deem et al.	128	200.24	11/06/03
	AR	2005/0066974	03/31/05	Fields et al.	128	207.14	05/28/03
	AS	4,774,942	10/04/98	Moellers, Chris M.	128	205.24	08/28/87
	AT	4,879,998	11/14/89	Moellers, Chris M.	128	205.24	05/06/88
	AU	4,990,151	02/05/91	Wallsten, Hans I.	606	108	09/18/89
	AV	5,158,548	10/27/92	Lau et al.	606	194	07/24/91
	AW	5,453,090	09/26/95	Martinez et al.	606	108	03/01/94
	AX	5,645,519	07/08/97	Lee et al.	600	114	03/18/94
	AY	5,649,906	07/22/97	Gory et al.	606	108	01/19/95
	AZ	5,803,080	09/08/98	Freitag, L.	128	207.14	12/20/96
	BA	5,910,144	06/08/99	Hayashi, Reid	606	108	01/09/98

<b>Examiner Signature</b>	<b>Date Considered</b>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17075-016001/0120	Application No. 10/627,517
		Applicant Alan Rapacki et al.	
		Filing Date July 25, 2003	Group Art Unit 3743

**List of Patents and Publications for Applicant's Information Disclosure Statement**

(37 CFR §1.98(b))

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	BB	5,954,766	09/21/99	Zadno-Azizi et al.	623	1.24	09/16/97
	BC	5,980,455	11/09/99	Daniel et al.	600	235	07/15/97
	BD	6,027,508	02/22/00	Ren et al.	606	108	10/03/96
	BE	6,190,381	02/20/01	Olsen et al.	606	32	01/21/98
	BF	6,247,471	06/19/01	Bower et al.	128	205.21	07/08/99
	BG	6,280,464	08/28/01	Hayashi, Reid	623	1.11	06/07/99
	BH	6,458,076	10/01/02	Pruitt et al.	600	146	02/01/00
	BI	6,558,318	05/06/03	Daniel et al.	600	213	11/01/99
	BJ	6,592,594	07/15/03	Rimbaugh et al.	606	108	10/25/01
	BK	6,632,243	10/14/03	Zadno-Azizi et al.	623	1.24	09/16/99
	BL	6,679,264	01/20/04	Deem et al.	128	207.16	03/04/00
	BM	6,685,739	02/03/04	DiMatteo et al.	623	1.24	07/09/02
	BN	6,694,979	02/24/04	Deem et al.	128	207.14	03/02/01
	BO	6,699,231	03/02/04	Sterman et al.	604	509	12/30/98
	BP	6,840,243	01/11/05	Deem et al.	128	207.16	04/18/03

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	BQ	01/66190	09/13/001	PCT				
	BR	01/87170	11/22/01	PCT				
	BS	03/030975	04/17/03	PCT				
	BT	03/099164	04/12/03	PCT				
	BU	2004/010845	02/05/04	PCT				

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document

Examiner Signature	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	